

SERVICE LEVEL STANDARDS



Shute Battaglia Wealth Advisors

Financial Strategies For Life

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Core Investment Management < \$500,000 in Investable Assets *

- Goals and objectives review
- Custom analysis of risk tolerance
- Investment management agreement
- Asset allocation review
- Portfolio rebalancing
- Portfolio performance reporting
- Tax and cost-basis reporting
- Annual review

Additional Services**

- Financial plan development
- Financial plan updates

Securities and Investment Advisory services offered through H. Beck Inc., Member FINRA, SIPC. Shute Battaglia Wealth Advisors is not affiliated with H. Beck Inc. H. Beck Inc. does not provide accounting, legal or tax advice.

Financial Planning \$500,000 to \$1,000,000 in Investable Assets *

- Comprehensive financial plan
- Balance sheet review and analysis
- Cash flow and budget review
- Overall net worth analysis
- Retirement needs analysis
- Income distribution strategies
- Education planning and funding
- Tax planning coordination
- Estate planning coordination

- Goals and objectives review
- Custom analysis of risk tolerance
- Investment management agreement
- Asset allocation review
- Portfolio rebalancing
- Portfolio performance reporting
- Tax and cost-basis reporting
- Semi-annual review

Additional Services**

- Financial plan updates

Comprehensive Wealth Management > \$1,000,000 in Investable Assets *

- Planning for wealth conservation
- Charitable gifting strategies
- Trust coordination and asset integration
- Tax-optimized investing and giving
- Planning for deferred compensation, stock options, and concentrated portfolios
- Financial plan updates
- Estate settlement support
- Periodic reviews and meetings

- Comprehensive financial plan
- Balance sheet review and analysis
- Cash flow and budget review
- Overall net worth analysis
- Retirement needs analysis
- Income distribution strategies
- Education planning and funding
- Tax planning coordination
- Estate planning coordination
- Consolidated online account access
- Secure e-document organizer

- Goals and objectives review
- Custom analysis of risk tolerance
- Investment management agreement
- Asset allocation review
- Portfolio rebalancing
- Portfolio performance reporting
- Tax and cost-basis reporting

* At standard fee schedule

** Additional services available for an hourly consulting or fixed fee